



GIBRALTAR FINANCIAL SERVICES COMMISSION

I, _____ warrant :

- (a) _____ that I have truthfully and fully answered the relevant questions in this Questionnaire and disclosed any other information which might reasonably be considered relevant:
- (b) _____ that I will promptly notify the FSC of any changes in the information I have provided and supply any other relevant information which may come to light;
- (c) _____ that I fully understand and acknowledge that a failure on my part to comply with this warranty will render the application liable to be refused and, if such failure is discovered after the application has been accepted, will render the application liable to be terminated.

I hereby irrevocably consent to the disclosure by the FSC, in the performance of its functions under the law of Gibraltar, of any information concerning me that may come into its possession including, without limitation, disclosure of such information to the Applicant for authorisation mentioned above and disclosure in any of the circumstances which might be material to the FSC in making its determination.

I hereby authorise the FSC to take up such references and make such enquiries as are necessary to consider this questionnaire.

I understand that I may not engage or be appointed to the role for which I have sought authorisation, until such a time as I receive express, written authorisation from the FSC

This Declaration refers to form **IQ.0302A**

Dated (same as form IQ.0302A)

Signed

Position

Statement on Data Protection

The Financial Services Commission is exempted by Section 19 of the Data Protection Act 2004 (the "Act") from many of the provisions of the Act which includes the Obligation to Register, Principles for data quality and security, information to be given to data subjects, data subject's access and rectification of data (sections 24, 6, 10, 14 and 15 of the Act). However, to the maximum extent possible, the FSC will follow the obligations imposed by the Act unless it considers that compliance would be likely to prejudice the proper discharge of its functions or prejudice its purposes.

Data Controller

Financial Services Commission of PO Box 940, Suite 3, Ground Floor, Atlantic Suites, Europort Avenue, Gibraltar.

Purposes for which data is collected and processed

The Financial Services Commission (FSC) is under a statutory obligation to ensure that those persons who exercise control or significant influence or control over the operations of a regulated financial firm be fit and proper as well as to ensure that they have the correct training and competency to conduct that activity. As such the FSC may conduct enquiries on such individuals for these purposes.

Recipients of data

In order to conduct this role, the Financial Services Commission may pass or be required to confirm some or all of the aspects of the data that you have provided with other regulators who perform similar roles to the FSC or law enforcement agencies within Gibraltar or in other jurisdictions.

Subject to the confidentiality provisions that the FSC, its officers and staff are subjected to under various regulatory Acts for which it has responsibilities and the restrictions imposed upon it by the Act, the FSC will share your data only for the purposes provided therein.

Your rights to access data held by the FSC on you.

If data held by the FSC on you is inaccurate or out of date you can require the FSC to update the data by making this request in writing.

Your right to complain about your treatment to the Gibraltar Data Protection Commissioner

If you consider that the FSC has not complied with the requirements of the Act you may make a complaint to the Data Protection Commissioner:

Gibraltar Data Protection Commissioner
Gibraltar Regulatory Authority
2nd Floor Eurotowers 4
1 Europort Road, Gibraltar
Tel +350 200 74636, Fax +350 200 72166
E-Mail info@gra.gi

Human Rights

Irrespective of anything contained in this statement, your rights under the European Convention on Human Rights and the Gibraltar Constitution Order remain unfettered.