



## Financial Services Commission

### NOTES TO FORM UCITS MANAGER (CROSS-BORDER SERVICES)

#### 1 SCOPE FOR THE NOTIFICATION REQUIREMENT

This form must be completed by all authorised Gibraltar UCITS Manager firms that intend to provide UCITS Management services as permitted by the Financial Services (Collective Investment Schemes) Act 2011 'the Act' and underlying Regulations within the territory of a Member State other than through a branch in that State. A separate notification form is required for each Member State.

Of the activities listed, only those particular activities specified in response to **Appendix A** may be carried on by the firm within the territory of the Member State other than through a branch in that State. If a firm later decides that it intends to carry on additional listed activities, the Financial Services Commission must be notified by the submission of an additional copy of this form.

In some instances it may not be immediately clear within which particular Member State an activity will be conducted, or whether an activity will in fact be conducted within another Member State. Firms in doubt as to whether to notify provision of services should consult their usual contact at the FSC.

#### 2 PROCEDURES

The firm may commence the carrying on of those activities specified in Appendix A one month after receipt of this form by the Financial Services Commission, or an earlier date if advised by the Financial Services Commission. However, the Financial Services Commission may exercise its powers under the Act to restrict these activities. Firms are therefore advised to consult the Head of Funds and Pensions Supervision at the earliest possible opportunity before formally submitting this form.

Upon receipt of this form, the Financial Services Commission will provide within one month a copy (along with other information about the firm) to the relevant supervisory authority in the Member State. The firm may be contacted by the authority concerning the regulations in the Member State applicable to the activities specified in Appendix A.